THE PLANCHEREL FORMULA FOR THE HOROCYCLE SPACES AND GENERALIZATIONS, II

RONALD L. LIPSMAN

(Received 5 December 1997; revised 19 June 1998)

Communicated by A. H. Dooley

Abstract

The Plancherel formula for various semisimple homogeneous spaces with non-reductive stability group is derived within the framework of the Bonnet Plancherel formula for the direct integral decomposition of a quasi-regular representation. These formulas represent a continuation of the author’s program to establish a new paradigm for concrete Plancherel analysis on homogeneous spaces wherein the distinction between finite and infinite multiplicity is de-emphasized. One interesting feature of the paper is the computation of the Bonnet nuclear operators corresponding to certain exponential representations (roughly those induced from infinite-dimensional representations of a subgroup). Another feature is a natural realization of the direct integral decomposition over a canonical set of concrete irreducible representations, rather than over the unitary dual.


1. Introduction

This paper is a direct sequel to [11]. It is also heavily dependent on the ideas and results in [9, 10, 12] and [13]. In this paper, as in those, the prime objective is to construct the Plancherel theory of the quasi-regular representation of a homogeneous space. More precisely, given a Lie group $G$ and a closed subgroup $H$, let us assume that the quasi-regular representation $\tau = \tau_{G,H} = \text{Ind}_H^G 1$ is type I. Then there is a unique direct integral decomposition

\begin{equation}
\tau = \int_{\hat{G}(H)} n_\pi \pi \ d\mu(\pi).
\end{equation}

194
Here $\hat{G}(H)$ denotes the irreducible unitary representation classes of $G$ that are weakly contained in $\tau$, a closed subset of the unitary dual $\hat{G}$. The Plancherel theory that is derived in the previously cited papers includes a specific analytic formula that provides detailed information not only on the structure of $\hat{G}(H)$, the multiplicity function $n_\pi$ and the Plancherel measure $\mu$, but also on an intertwining operator that effects the direct integral decomposition. This is done in [9] and [10] in various cases that manifest finite multiplicity (that is, $n_\pi < \infty$, $\mu$-a.e.); it is done in [12] and [13] for certain infinite multiplicity situations. The fundamental philosophy of [12] is that these two cases—usually thought of as very different—can be treated in a uniform manner. Moreover, the Penney-Fujiwara Plancherel formula (PFPF) and the Bonnet Plancherel formula (BPF), the analytic formulas that express the Plancherel theory in the two cases, are really the same gadget if interpreted properly (see [12, Remark 2.3]).

Our goal is to demonstrate very concretely the last assertion. We do that by considering a category of homogeneous space in which the multiplicity is either uniformly finite or uniformly infinite, depending on some geometric or measure-theoretic invariant. Then we show how to derive explicitly the Plancherel theory for both cases by parallel techniques. This program has been carried out for $G$ nilpotent in Fujiwara’s two papers [4, 5]; for Strichartz spaces with trivial stabilizer in [12]; and for general Strichartz spaces in [13]. In [13, item (1.3)], I proposed pursuing this program for these categories of homogeneous spaces:

1. General Strichartz homogeneous spaces;
2. Semisimple homogeneous spaces with non-reductive stability group;
3. Nilpotent homogeneous spaces—a reformulation and simplification of the work of Fujiwara—and then exponential solvable homogeneous spaces;
4. Semidirect product homogeneous spaces $H \backslash G$, where $G$ is the semidirect product of a subgroup $H$ of the symplectic group with a normal Heisenberg group;
5. Reductive homogeneous spaces.

We realized the goal for (1.2i) in [13]. In this paper we will realize it for (1.2ii).

The spectrum of the quasi-regular representations we consider will always consist of induced representations. Sometimes the inducing representations will be finite-dimensional, sometimes infinite-dimensional. The resulting representations will therefore be polynomial sometimes ([10]), at other times exponential ([13]). We will present our Plancherel formulae in the exact same fashion regardless—namely, as a so-called Bonnet Plancherel formula. This is usually done only in the case of infinite multiplicity; but as we explained in [12], the Penney-Fujiwara format (in the finite multiplicity case) is really no different—if it is interpreted properly.

There is one more important point to be made before we proceed to the details. For many homogeneous spaces that we encounter—in particular, for those in this
the Plancherel formula is derived in a form different from formula (1.1). More seriously, it occurs in a different form from formula (2.2) in Theorem 2.1. Namely, the parameterization occurs in \( \text{Irr}(G) \), a concrete set of irreducible unitary representations of \( G \), rather than in the dual \( \hat{G} \):

\[
\tau = \int_{\text{Irr}(G)}^{\oplus} \pi \ d\nu(\pi).
\]

To convert (1.3) into the form (1.1), one must factor by unitary equivalence. The Plancherel measure \( \mu \) will then be a pseudo-image of \( \nu \). That is not so troublesome as the fact that the nuclear operators that appear in the BPF (see Theorem 2.2) can become considerably more complicated in the factored form. Because of [12, remark 2.3.7], this means that the intertwining operator that effects the direct integral decomposition of \( \tau \) also becomes more complicated in the factored form. See Section 3.1 for an instance of these troubles. We mention here only that the experience leaves one with the surprising conclusion that it is sometimes better to express a Plancherel formula in terms of a decomposition over \( \text{Irr}(G) \), where the multiplicity is not explicitly stated, than in terms of a decomposition over \( \hat{G} \).

2. Nuclear operators and the Plancherel formula

In this section we recall from [12] the basic results on canonical nuclear operators associated to induced representations. We also reestablish the fundamental facts found in the BPF and the PFPF. The context is always that \( \tau = \tau_{G,H} \) is a type I representation, resulting in a well-determined Plancherel decomposition (1.1). Finally, we always assume that \( G \) is unimodular.

We begin by fixing a choice of right Haar measures \( dg, dh \) on \( G \) and \( H \) respectively. We write \( \Delta_H \) for the modular function of \( H \) (that is, the derivative of right Haar measure with respect to left). By assumption, \( \Delta_G \equiv 1 \). We choose once and for all a smooth function \( q = q_{H,G} \) satisfying \( q(e) = 1 \), \( q(hg) = \Delta_H(h)q(g) \), \( \forall h \in H, g \in G \). Now suppose \( \pi \) is a unitary representation of \( G \) acting on a Hilbert space \( \mathcal{H}_\pi \). We write \( \mathcal{H}_\pi^{\infty} \) to denote the Fréchet space of \( C^\infty \) vectors and \( \mathcal{H}_\pi^{-\infty} \) for its antidual space of distributions (that is, conjugate linear functionals). As usual we set \( \langle v, \alpha \rangle = \overline{\alpha(v)} \), \( v \in \mathcal{H}_\pi^{\infty} \), \( \alpha \in \mathcal{H}_\pi^{-\infty} \), a sesquilinear form. \( \pi(G) \) acts on both \( \mathcal{H}_\pi^{\infty} \) and \( \mathcal{H}_\pi^{-\infty} \), and it is a well-known fact that \( \pi(D(G))\mathcal{H}_\pi^{-\infty} \subset \mathcal{H}_\pi^{\infty} \), if \( D(G) \) is the test space of compactly supported, infinitely differentiable functions on \( G \). Given the direct integral decomposition (1.1), it is known from [6] and [14] that

\[
\mathcal{H}_\tau^{\infty} = \int^{\oplus} n_\pi \mathcal{H}_\pi^{\infty} \ d\mu(\pi), \quad \mathcal{H}_\tau^{-\infty} = \int^{\oplus} n_\pi \mathcal{H}_\pi^{-\infty} \ d\mu(\pi).
\]
We refine the latter of these as follows. Set \( (\mathcal{H}^\infty_\pi)^{H,q^{-1/2}} = \{ \alpha \in \mathcal{H}^\infty_\pi : \pi(h)\alpha = q^{-1/2}(h)\alpha, \forall h \in H \} \). It is established in [9] that the distribution \( \alpha_\tau : f \rightarrow \int f(e) \) lies in \( (\mathcal{H}^\infty_\tau)^{H,q^{-1/2}} \), and its matrix coefficient \( \langle \tau(\omega)\alpha_\tau, \alpha_\tau \rangle, \omega \in \mathcal{D}(G) \), is calculated there as follows:

\[
\tau(\omega)\alpha_\tau(g) = \omega_H(g),
\]

where

\[
\omega_H(g) = q^{-1/2}(g) \int_H \omega(g^{-1}h^{-1})q^{-1/2}(h) \, dh, \omega \in \mathcal{D}(G);
\]

and by a routine change of variable (see [9, Proposition 2.2])

\[
\langle \tau(\omega)\alpha_\tau, \alpha_\tau \rangle = \omega_H(e) = \int_H \omega(h^{-1})q^{-1/2}(h) \, dh = \int_H \omega(h)q^{-1/2}(h) \, dh.
\]

**THEOREM 2.1 (BPF).** [2, Théorème 4.1] For \( \mu \text{-a.a. } \pi \), there exist positive nuclear operators \( U_\pi : \mathcal{H}^\infty_\pi \rightarrow \mathcal{H}^\infty_\pi \) such that

\[
\omega_H(e) = \int_{\mathcal{G}(H)} \text{Tr}[\pi(\omega)U_\pi] \, d\mu(\pi), \omega \in \mathcal{D}(G).
\]

Furthermore, the pair \( (\mu, \{U_\pi\}_{\pi \in \mathcal{G}}) \) is unique up to positive scalars \( c(\pi) \); that is, any other pair \( (\mu', \{U'_\pi\}_{\pi \in \mathcal{G}}) \) satisfying formula (2.2) must be related to the original by \( d\mu/d\mu' = c(\pi) \), \( U_\pi = U'_\pi/c(\pi) \), for some positive Borel function \( c(\pi) \).

We make use of the structure established in [12, Section 2]. In particular, we have

\[
\pi(h)U_\pi = q^{-1/2}(h)U_\pi, \quad U_\pi \pi(h) = q^{1/2}(h)U_\pi, \quad h \in H.
\]

The first of these is proven in [13, Section 2]. The second is not established there, but the method of proof is exactly the same as the first (using the left translate \( \omega_h \) instead of the right translate \( \omega^h \) of a test function \( \omega \in \mathcal{D}(G) \)). Now in the case that almost all of the operators \( U_\pi \) have finite rank, Theorem 2.1 specializes to

**THEOREM 2.2 (PFPF).** [4, Théorème 1] [14, Theorem II.6] Suppose that

\[
n_\pi = \text{rank } U_\pi < \infty, \mu \text{-a.e.}
\]

Then the multiplicity in (1.1) is finite \( \mu \text{-a.e.} \), and for \( \mu \text{-a.a. } \pi \), there exist \( n_\pi \) linearly independent distributions \( \beta^1_\pi, \ldots, \beta^{n_\pi}_\pi \in \text{range}(U_\pi) \subset (\mathcal{H}^\infty_\pi)^{H,q^{-1/2}} \) such that

\[
\langle \tau(\omega)\alpha_\tau, \alpha_\tau \rangle = \int_{\mathcal{G}(H)} \sum_{j=1}^{n_\pi} \langle \pi(\omega)\beta^j_\pi, \beta^j_\pi \rangle \, d\mu(\pi), \omega \in \mathcal{D}(G).
\]
To see how to construct the $\beta_\pi$ out of $U_\pi$, consult [12, Remark 2.3.6]. Now, as the phrase was coined in [12], by a concrete Plancherel formula for a category of homogeneous spaces $H \setminus G$, we mean explicit expressions for: $\mu$, the nuclear operators $U_\pi$—or the distributions $\beta_\pi^j$, and the summable operators $\pi(\omega)U_\pi$—or smooth vectors $\pi(\omega)\beta_\pi^j$. These lead to a totally explicit intertwining operator in either case (see [9, 10, 12]).

Next, since we are concentrating on the situation wherein the spectrum of $\tau$ consists of induced representations, we focus on a single representation from the spectrum. Suppose that $\pi$ is an induced representation $\pi = \text{Ind}_B^G \sigma$. We shall say that $\pi$ is exponential with respect to $H$ if $\sigma$ is infinite-dimensional and the following conditions are satisfied.

(2.5a) There exists a positive nuclear operator $U_\sigma : \mathcal{H}_\sigma^\infty \to \mathcal{H}_\sigma^{-\infty}$ that is left and right invariant under $H \cap B$.

(2.5b) $BH$ is closed in $G$.

(2.5c) $q_{H \cap B, H} q_{H \cap B, B} \equiv 1$ on $H \cap B$.

(2.5d) For any $\omega \in C_c^\infty(B, H \cap B)$, the operator

$$\int_{H \cap B \setminus B} \omega(b)\sigma(b)^{-1} U_\sigma \, db$$

is trace class.

See [13, Section 2] for a thorough discussion of the meaning and appropriateness of each of these conditions.

We take the usual realization and action for the induced representation $\pi$, namely its space $\mathcal{H}_\pi$ is the Hilbert space completion of

$$C_c^\infty(G, B, \sigma) = \{ f : G \to \mathcal{H}_\sigma, \text{ $f$ is } C^\infty, \, f(bg) = \sigma(b) f(g), \, b \in B, \, g \in G, \| f \| \text{ is compactly supported mod } B \}$$

and $G$ acts by the formula

$$\pi(g)f(x) = f(xg)[q_{B,G}(xg)/q_{B,G}(x)]^{1/2}.$$  

The norm is relative to the unique quasi-invariant measure on $B \setminus G$ determined by $q_{B,G}$. (For a review of $q$ functions, see [7].)

Suppose that we are given a positive nuclear operator $U_\sigma : \mathcal{H}_\sigma^\infty \to \mathcal{H}_\sigma^{-\infty}$ that is left and right $H \cap B$-invariant. Given that, we now define the positive nuclear operator $U_\pi(\sigma) : \mathcal{H}_\pi^\infty \to \mathcal{H}_\pi^{-\infty}$ by the formula

$$\langle f_1, U_\pi(\sigma)f_2 \rangle = \int_{H \cap B \setminus H} \int_{H \cap B \setminus H} \langle f_1(h_1), U_\sigma f_2(h_2) \rangle$$

$$\times q_{B,G}^{1/2}(h_1)q_{H,G}^{-1/2}(h_1)q_{H \cap B,H}^{-1}(h_1)q_{B,G}^{1/2}(h_2)q_{H,G}^{-1/2}(h_2)q_{H \cap B,H}(h_2) \, dh_1 \, dh_2.$$
Then we have

**THEOREM 2.3.** [13, Theorem 2.3]

(i) $U_\pi(\sigma)$ is well-defined.

(ii) $U_\pi(\sigma)$ is relatively invariant under the action of $H$ with modulus $q^{-1/2} = q_{H,G}^{-1/2}$, that is

$$U_\pi(\sigma) \left( \mathcal{H}_\pi^{\infty} \right) \subseteq \left( \mathcal{H}_\pi^{-\infty} \right)^{H,q^{-1/2}}.$$  \hfill (2.8)

(iii) For $\omega \in \mathcal{D}(G)$, the operator-valued function $\pi(\omega)U_\pi(\sigma)$ is given by the formula

$$\pi(\omega)U_\pi(\sigma)f(g) = \int_{H \cap B \setminus H} \int_{H \cap B \setminus B} \omega_H(bg)\sigma(b)^{-1}U_\sigma f(h)$$

$$\times q_{B,G}^{-1/2}(bg)q_{H,G}^{1/2}(b)q_{H,B}^{-1}(h)q_{H,G}^{-1/2}(h)q_{H,B,H}^{-1}(h) \, db \, dh$$  \hfill (2.9)

where $\omega_H$ is defined in (2.1).

(iv) For $\omega \in \mathcal{D}^+(G)$, the character $\text{Tr}[\pi(\omega)U_\pi(\sigma)]$ is

$$\text{Tr}[\pi(\omega)U_\pi(\sigma)] = \int_{H \cap B \setminus H} \text{Tr} \int_{H \cap B \setminus B} \omega_H(bh)\sigma(b)^{-1}U_\sigma$$

$$\times q_{B,G}^{-1/2}(b)q_{H,G}^{1/2}(h^{-1}bh)q_{H,B,B}^{-1}(b)q_{H,B,H}^{-1}(h) \, db \, dh.$$  \hfill (2.10)

The trace is a non-negative number, possibly equal to $+\infty$, provided $\omega = \omega_1 \star \omega_1^*$, $\omega_1 \in \mathcal{D}(G)$.

In the next section we pass to the case of non-reductive semisimple homogeneous spaces. That is, we assume $G$ is a semisimple Lie group and $H$ is a non-reductive subgroup. Actually, we are more demanding of $H$—we assume it is a canonical subgroup of a parabolic containing the nilradical. In that case, the representations that appear in the spectrum of the quasi-regular representation are always induced representations—in fact, induced from the parabolic itself. Speaking roughly, we are looking at semisimple homogeneous spaces whose spectrum avoids any discrete series. Said another way, we are concentrating on quasi-regular representations whose spectrum consists of representations whose associated Duflo-Kirillov functionals have non-totally complex polarizations.

### 3. Canonical non-reductive semisimple homogeneous spaces

Now let $G$ be a semisimple Lie group. Let $P$ be any parabolic subgroup. Denote its Langlands decomposition by $P = MAN$. If we fix Haar measures $dm, da, dn$
on the unimodular groups $M, A, N$, then $dmdadn$ is left Haar measure on $P$, and 
\[ \int_N f(n) \, dn = e^{2\rho(\log a)} \int_N f(ana^{-1}) \, dn, \]
where $\rho$ is one-half the sum of the positive roots (on the Lie algebra $\mathfrak{a}$ with respect to $\mathfrak{n}$). In particular, $e^{2\rho(\log a)}dmdadn$ is right Haar measure on $P$ and $\Delta_\rho(\text{man}) = e^{2\rho(\log a)}$ is the modular function.

Now we consider a subgroup $H$ of $G$ satisfying $N \subset H \subset P$. Moreover we assume that $H$ is ‘canonical’, that is, $H$ is one of the groups associated naturally to the Langlands decomposition. In short, we will consider the four examples:

(I) $H = MN$;
(II) $H = MAN$, that is, $H = P$;
(III) $H = AN$;
(IV) $H = N$.

In each case it is known, in principle, how to derive the soft Plancherel formula, that is the abstract direct integral decomposition of the corresponding quasi-regular representation into irreducible unitary representations. It is our goal in this paper to give, in each case, using the theory described in the last section, the more explicit BPF. The soft decomposition will reveal the Plancherel measure. We have to compute the Bonnet nuclear operators in each case.

To give the soft Plancherel formulae, we only need to apply induction in stages, inducing through the parabolic to decompose the quasi-regular representation. We give the full computation for the first and fourth examples, and essentially the full computation for the second and third. Before doing so, we establish some notation for the principal series representations of $G$ that are obtained by inducing from $P$. For any character $\lambda \in \hat{A}$, and any irreducible unitary representation $\sigma \in \hat{M}$, we write

\[(3.1) \quad \pi_{\lambda, \sigma} = \text{Ind}_{P=MAN}^G \sigma \times \lambda \times 1.\]

It is well known that these induced representations are generically irreducible. More precisely, if we restrict $\lambda$ to the generic open set $\hat{A}'$ of regular characters (those not preserved by any non-trivial element of the finite Weyl group $W = W_\rho = \text{Norm}_G(A)/\text{Cent}_G(A)$), then $\pi_{\lambda, \sigma}$ is irreducible. It is also known that there is some duplication, namely $\pi_{\lambda, \sigma} \cong \pi_{\lambda', \sigma'}$ if and only if there is an element of the Weyl group that conjugates $(\lambda, \sigma)$ into $(\lambda', \sigma')$.

Now for the decompositions. Case (I) decomposes:

\[
\pi_{G,MN} = \text{Ind}_{MN}^G 1 \\
= \text{Ind}_P^G \text{Ind}_{MN}^P 1 \\
= \text{Ind}_P^G \int_{\hat{A}} 1 \times \lambda \times 1 \, d\lambda \\
= \int_{\hat{A}} \text{Ind}_P^G 1 \times \lambda \times 1 \, d\lambda.
\]
where $d\tilde{\lambda}$ is the image of Lebesgue measure $d\lambda$ under the canonical projection $\hat{A} \to \hat{A}/W$. The representations $\pi_{\lambda,1}$, $\lambda \in \text{a cross-section in } \hat{A}'$ for $\hat{A}'/W$, are irreducible and inequivalent. So we have a decomposition with uniform multiplicity $\#(W)$. Next we examine case (III):

$$
\tau_{G,AN} = \text{Ind}_{AN}^G 1 \\
= \text{Ind}_P^G \text{Ind}_{AN}^P 1 \\
= \text{Ind}_P^G \int_{\hat{M}} (\dim \sigma) \sigma \times 1 \times 1 \, d\mu_M(\sigma) \\
= \int_{\hat{M}} (\dim \sigma) \text{Ind}_P^G \sigma \times 1 \times 1 \, d\mu_M(\sigma) \\
= \int_{\hat{M}/W} (\dim \sigma) \pi_{1,\sigma} \, d\mu_M(\sigma) \\
= \int_{\hat{M}/W} #(W/W_\sigma)(\dim \sigma) \pi_{1,\sigma} \, d\tilde{\mu}_M(\sigma),
$$

where $W_\sigma$ is the stability group in $W$ of $\sigma \in \hat{M}$, $\mu_M$ is the Plancherel measure of the reductive group $M$, and $\tilde{\mu}_M(\sigma)$ is the image of Plancherel measure $\mu_M(\sigma)$ under the canonical projection $\hat{M} \to \hat{M}/W$.

In general, we can be certain that $\pi_{1,\sigma}$, $\sigma \in \hat{M}$, is irreducible only when $W_\sigma$ is trivial. Otherwise, although it is often irreducible, the representation $\pi_{1,\sigma}$ may decompose into a finite direct sum of inequivalent irreducible representations. (See [11, Section 3a] for a very simple example.) If the parabolic in question is not minimal, the numbers $\dim \sigma$ will be uniformly infinite. If the parabolic is minimal, the multiplicity will be finite, but it may be bounded or unbounded.

Next we pass to case (II). If $P$ is minimal, then the induced representation $\tau_{G,P}$ is irreducible (see [8, Section 11]). If $P$ is not minimal, then the decomposition of $\tau_{G,P}$ is an interesting problem that has been determined in many, but not all, situations. We shall have more to say on this later.

Finally, we come to case (IV). It is very easy to essentially mimic the computation for case (I) above to see:

$$
\tau_{G,N} = \text{Ind}_{N}^G 1 \\
= \int_{\hat{M}/A} (\dim \sigma) \pi_{\lambda,\sigma} \, d\mu_{MA}(\sigma, \lambda)
$$
\( (3.7) \quad \int_{(\tilde{M} \times \tilde{A})/\mathcal{W}} \#(W)(\dim \sigma) \pi_{\lambda,\sigma} \, d\tilde{\mu}_{MA}(\sigma, \lambda), \)

where \( \mu_{MA} = \mu_M \times d\lambda \) is the Plancherel measure of the reductive group \( MA \), and \( \tilde{\mu}_{MA}(\sigma, \lambda) \) is its image under the canonical projection \( MA \to (MA)/\mathcal{W} \). The representations \( \pi_{\lambda,\sigma}, (\lambda, \sigma) \in \tilde{M} \times \tilde{A}', \) are irreducible. If \( P \) is not minimal, the multiplicity is uniformly infinite. Otherwise, it will be finite; but may be either bounded or unbounded.

### 3.I. Generalized horocycle spaces

Now we deal with the concrete Plancherel formula for generalized horocycle spaces, that is the homogeneous space \( G/MN \). We allow \( P = MAN \) to be any parabolic subgroup of the semisimple group \( G \). The soft Plancherel formula is prescribed in the decompositions (3.2), (3.3). In particular, we see that the multiplicity is finite and uniform. Thus one only needs the PFPF to describe the concrete Plancherel formula in this case. This is already done in [11]. Here we shall give a BPF (as if the multiplicity were infinite) and relate the description to the one in [11]. Naturally, we utilize the machinery in Section 2.

First, we specify the \( q \) functions. In this case we have \( H = MN \), a unimodular group. Hence, we choose \( q_{H,G} = q_{MN,G} \equiv 1 \) on all of \( G \). Here, as in all the cases, the polarizing group \( B \), from which the representations are induced, is \( P \) itself. Therefore, the intersection of the polarizing group and the stability group \( H \) will always be \( H \) (since in all cases \( H \subset P \)). Therefore we must have \( q_{H \cap B,H} = q_{H,H} \equiv 1 \) on \( H \). Next, \( q_{H \cap B,B} = q_{MN,MAN} = 1 \) on \( MN \). We extend it to \( P \) by setting \( q_{MN,MAN} (man) = \Delta_{MN}^{-1}(man) = e^{-2\rho(\log a)} \). Finally, we have \( q_{B,G}(man) = q_{P,G}(man) = \Delta_{P}(man) = e^{2\rho(\log a)} \) on \( P \). We extend it to \( G \) as follows. Let \( K \) be a maximal compact subgroup of \( G \). Then \( G = PK = MANK \). The overlap is \( M \cap K \). So we can choose unambiguously \( q_{B,G}(mank) = q_{P,G}(mank) = e^{2\rho(\log a)} \).

The representations in the spectrum are \( \pi_{\lambda} \equiv \pi_{\lambda,1} \). These representations are induced from the characters \( \lambda \). So, since the inducing representations are not infinite-dimensional, the representations are not, strictly speaking, exponential. But the four conditions in (2.5) are satisfied. This is obvious for (b) and (c). As for (a) and (d), we make the only conceivable choice for the nuclear operator \( U_{\lambda} \), namely the identity on the one-dimensional space of \( \lambda \). We then invoke formula (2.7) and Theorem 2.3. The operator \( U_{\pi_{\lambda}} \) is defined on the space of \( \mathcal{H}_{\pi_{\lambda}} \) by

\( (3.8) \quad \langle f_1, U_{\pi_{\lambda}} f_2 \rangle = f_1(e) \bar{f}_2(e). \)

We see immediately from part (iv), formula (2.10), of Theorem 2.3, that

\[ \text{Tr} [\pi_{\lambda}(\omega)U_{\pi_{\lambda}}] = \int_{\tilde{A}} \omega_{MN}(a) \tilde{\lambda}(a) e^{-\rho(\log a)} \, da \, d\lambda, \]
The Plancherel formula for the horocycle spaces and generalizations, II 203

which is exactly the formula at the end of the computation of [11, page 48]. Concluding
as in that case, we replicate the Plancherel formula in [11, Theorem 2.2], namely

**THEOREM 3.1.** For $\tau_{MN}$, we have the concrete Plancherel formula

$$\omega_{MN}(e) = \int_{\hat{\Lambda}} \text{Tr}[\pi(\omega)U_{\pi_x}^*] d\lambda, \quad \omega \in \mathcal{D}(G).$$

Formulas (3.8) and (3.9) represent the BPF corresponding to the soft Plancherel
formula (3.2)—as well as corresponding to the PFPF in [11, Theorem 2.5]. We leave
it to the reader to check that the Bonnet nuclear operators $U_{\pi_x}$ and the Penney distribu-
tions $\beta_{\lambda}$ (see [11, pp. 48–49]) are related as they should be (see [12, Remark
2.3]). Instead, we observe that one may reasonably ask what is the BPF that cor-
responds to the formula (3.3). This question highlights the following general issue. Often one is able to derive a Plancherel formula (in either soft or hard fashion) in
which the parameter space for the decomposition, say $X$, maps naturally to $\hat{G}$. That
is, corresponding to each $x \in X$, we have an irreducible representation $\pi_x$, and the
association of the unitary class $\{\pi_x\}$ of $\pi_x$ to $x$ is the asserted map. Of course the map
$x \mapsto \{\pi_x\}$, $X \rightarrow \hat{G}$ will rarely be surjective. The problem is that it also may not be
injective. Thus a Plancherel formula, say in the BPF format, may be derived in the form

$$\omega_H(e) = \int_X \text{Tr}[\pi_x(\omega)U_{\pi_x}^*] du(x), \quad \omega \in \mathcal{D}(G).$$

Strictly speaking, if $x \mapsto \{\pi_x\}$ is not injective, formula (3.10) is not in accordance
with the format of Theorem 2.1. To bring it into the proper format, we must pick a
smooth cross-section, say $\tilde{X}$, for the equivalence relation $x \sim x' \Leftrightarrow \pi_x \cong \pi_{x'}$, pick an
appropriate pseudo-image $\tilde{\mu}$ of $\mu$ on $\tilde{X} = X/\sim$, and, most difficult of all, recompute
the nuclear operators $U_{\pi_x}$, $x \in X$, as nuclear operators $\tilde{U}_{\pi_x}$, $x \in \tilde{X}$, so that

$$\omega_H(e) = \int_{\tilde{X}} \text{Tr}[\pi_x(\omega)\tilde{U}_{\pi_x}^*] d\tilde{\mu}(x), \quad \omega \in \mathcal{D}(G).$$

The interesting point to note is that the new nuclear operators $\tilde{U}_{\pi_x}$ may be considerably
more complicated than the original $U_{\pi_x}$. In fact, one of the key points of this paper
is that the realization of the Plancherel formula in the ‘appropriate’ form (3.11) may
be much less natural than in the ‘inappropriate’ form (3.10). This will be nicely
illustrated by the current example. Let us now compute the BPF for the generali-
zed horocycle spaces when we factor out unitary equivalence.

We select the usual cross-section $\hat{A}^+$ in $\hat{A}$ for $\hat{A}'/\mathbb{W}$. Namely, since $\hat{A}$ is identified to
the real vector space of all real linear functionals on $\mathfrak{a}$ via $\lambda(\exp(Y)) = e^{i\lambda(Y)}$, $Y \in \mathfrak{a}$,
we can choose $\hat{A}^+$ to be the characters whose corresponding functional takes positive
values on the positive Weyl chamber (in \( \mathfrak{a} \), determined by \( \mathfrak{n} \)). Now let \( s_1 = e, s_2, \ldots \) denote a (finite) set of representatives in \( \text{Norm}_G(A) \) for the elements of the Weyl group \( W_P \). It is classical to specify the intertwining operator corresponding to the equivalent representations \( \pi_\lambda \) and \( \pi_{\lambda,s} \) by

\[
T_s : f \to \int_{N_0 \setminus N_s} f(n_s g) \, dn_s,
\]

where \( N_s = sNs^{-1}, N_0 = N \cap N_s, \) and \( dn_s \) is the invariant measure on the quotient space. The integral plainly converges on the dense space of functions \( f \in C^\infty_c(G, P, 1 \times \lambda \times 1) \subset \mathcal{H}_{\pi_\lambda} \) and extends uniquely to a unitary intertwining operator of \( \pi_\lambda \) with \( \pi_{\lambda,s} \). Then a relatively simple computation gives us

\[
\omega_{MN}(e) = \int_{\hat{\mathcal{A}}} \text{Tr}[\pi_\lambda(\omega)U_{\pi_\lambda}] \, d\lambda
= \sum_{s \in W} \int_{\hat{\mathcal{A}}} \text{Tr}[\pi_{\lambda,s}(\omega)U_{\pi_{\lambda,s}}] \, d\lambda
= \int_{\hat{\mathcal{A}}} \text{Tr}[\pi_\lambda(\omega)\bar{U}_{\pi_\lambda}] \, d\lambda,
\]

where

\[
\bar{U}_{\pi_\lambda} = \sum_{s \in W} T_s^{-1} U_{\pi_{\lambda,s}} T_s, \quad \lambda \in \hat{\mathcal{A}}^+.
\]

It is a simple exercise to expand and obtain the formula for the nuclear operators

\[
\langle f_1, \bar{U}_{\pi_\lambda} f_2 \rangle = \sum_{s \in W} \int_{(s^{-1}N_s \cap N) \setminus N} \int_{(s^{-1}N_s \cap N) \setminus N} f_1(sn) \bar{f}_2(sn') \, dn \, dn'.
\]

Combined with Theorem 3.1, this yields

**Theorem 3.2.** We have the second concrete Plancherel formula

\[
\omega_{MN}(e) = \int_{\hat{\mathcal{A}}} \text{Tr}[\pi_\lambda(\omega)\bar{U}_{\pi_\lambda}] \, d\lambda, \quad \omega \in \mathcal{D}(G).
\]

The reader may be the judge. Personally, I prefer the Plancherel formula of Theorem 3.1 (with formula (3.8)) to that of Theorem 3.2 (and formula (3.12)). The parameter space is very natural, even if not a subspace of \( \hat{G} \), and the formulation of the nuclear operators is much clearer. This preference is even stronger when the multiplicity is infinite—as in two of the remaining three examples in the paper. Incidentally, this theme repeats itself in many other places in the literature. The BPF of Fujiwara [5] can be simplified substantially if one does not insist on a parameter space in \( \hat{G} \) for nilpotent homogeneous spaces. (The author plans to take that up in a future publication.) A similar theme is apparent in [1].
3.II. Generalized flag manifolds  Once again we have finite multiplicity and so the results here are based upon [11, Section 2b] exactly as the results of the last subsection were related to those of [11, Section 2a]. Let $P$ be a minimal parabolic subgroup of $G$. Then [8] the quasi-regular representation $\tau_{G,P}$ is known to be irreducible. Let us be more general for a moment. Suppose we have a quasi-regular representation $\tau = \tau_{G,H}$ that is irreducible. Moreover, suppose $\pi$ is an irreducible representation of $G$ whose unitary equivalence class is the same as that of $\tau$. Then by Theorem 2.1, there must exist a unique positive nuclear operator $U_{\pi}(H) : \mathcal{H}_\pi^\infty \to \mathcal{H}_\pi^{-\infty}$ such that

$$\omega_H(e) = \text{Tr}[\pi(\omega)U_{\pi}(H)], \quad \omega \in \mathcal{D}(G).$$

In some sense $U_{\pi}(H)$ measures the interaction between the two realizations of the point in $\hat{G}$ determined by $\tau$ and $\pi$. Indeed, it yields the intertwining operator between them (as in [11, Section 2b]).

Now return to the case $\tau_{G,P}$ with $P$ minimal. Let $P_2$ be any other minimal parabolic subgroup of $G$. Then it is well known (from Harish-Chandra’s character formulas) that $\tau_{G,P}$ and $\tau_2 = \tau_{G,P_2}$ are equivalent as well as irreducible. We can read off the Bonnet nuclear operator $U_{\tau_2}(P)$ from [11, formula (2.9)] and [12, Remark 2.3.3], namely it is

$$\langle f_1, U_{\tau_2}(P) f_2 \rangle = \int_{N_0 \backslash N} f_1(n) \, dn \int_{N_0 \backslash N} \tilde{f}_2(n') \, dn',$$

where $N$ is the nilradical of $P$ and $N_0$ is the intersection of $N$ with the nilradical of $P_2$. The actual intertwining operator may be found in [11, Theorem 2.6]. If $P$ is not minimal, then $\tau_{G,P}$ may be irreducible, or it may decompose into a multiplicity-free direct sum of irreducible representations. If it is irreducible, the same analysis as above holds. If on the other hand

$$\tau_{G,P} = \bigoplus_{j=1}^\oplus \pi_j$$

is a finite direct sum, the ingredients in the BPF may be difficult to describe. As we know from [12, Remark 2.3.3], there are distinct elements in $(\mathcal{H}_{\pi_j}^{c,-\infty})_{P,q^{-1/2}}$, from which we can construct the Bonnet operators $U_{\pi_j}$. Finding these distributions is a difficult chore. Incidentally, it is clear from [14], that this chore is the same as determining either the intertwining space of $\tau$ or equivalently the projections onto the irreducible subspaces.

3.III. Rossi-Vergne spaces  In this subsection, we come to a situation in which multiplicities are (usually) infinite, and so much more germane to the fundamental premise of the paper. We consider $\tau_{G,AN}$ for an arbitrary parabolic $P = MAN$. Unless
the parabolic is minimal, the numbers \( \dim \sigma \) in the soft Plancherel formulae (3.4), (3.5) will be uniformly infinite. We shall make the tacit assumption that \( P \) is not minimal, although of course the BPF we shall derive is applicable even in those cases (for which the multiplicity is finite).

We start as in Section 3.I by specifying the \( q \) functions. In this case we have \( H = AN \), a non-unimodular group. In fact we have \( q_{H,G} = q_{AN,G} = \Delta_{AN} \) on \( AN \), so we extend it naturally to \( G \) by setting \( q_{H,G}(g) = e^{2 \rho(\log a)} \) if \( g = \text{mank} \). Of course we still have \( B = P \), so \( q_{H \cap B,H} = q_{H,H} \equiv 1 \) on \( H \). Next, \( q_{H \cap B,B} = q_{AN,MAN} = \Delta_{AN}/\Delta_{MAN} \equiv 1 \) on \( AN \). We extend it to \( P \) by setting it equal to 1 everywhere. Finally, we have \( q_{B,G}(\text{man}) = q_{P,G}(\text{man}) = \Delta_{\rho}(\text{man}) = e^{2 \rho(\log a)} \). We extend it to \( G \) by setting it equal to 1 everywhere.

The representations in the spectrum are \( \pi^{\sigma} \equiv \pi_{1,\sigma} \). These representations are induced from the representations \( \sigma \times 1 \times 1 \) on \( MAN \). We are tacitly assuming the inducing representations \( \sigma \) are infinite-dimensional, although what follows holds even if they are not. The four conditions in (2.5) are satisfied for the following reasons. It is obvious for (b). Condition (c) holds because of the prior choices of \( q \) functions. To take care of (a) and (d), we again make the only conceivable choice for the nuclear operator \( U_{\sigma} \), namely the identity operator. Condition (a) is clear, and (d) follows from the basic result of Harish-Chandra that irreducible unitary representations of reductive groups are traceable. It says that for a reductive Lie group \( M \) (in the Harish-Chandra class), the operators

\[
\int_M \omega(m) \sigma(m) \, dm, \quad \omega \in \mathcal{D}(M),
\]

are trace class. We next invoke formula (2.7) and Theorem 2.3. The operator \( U_{\pi^{\sigma}} \) is defined on the space of \( \mathcal{H}_{\pi^{\sigma}} \) by

\[
\langle f_1, U_{\pi^{\sigma}} f_2 \rangle = \langle f_1(e), f_2(e) \rangle.
\]

We apply part (iv), formula (2.10), of Theorem 2.3, as well as the \( q \) function choices above to conclude that

\[
\text{Tr}[\pi^{\sigma}(\omega) U_{\pi^{\sigma}}] = \text{Tr} \int_M \omega_{AN}(m) \sigma(m)^{-1} \, dm.
\]

But then an application of the Plancherel formula on \( M \) yields immediately

**Theorem 3.3.** For \( \tau_{AN} \), we have the concrete Plancherel formula

\[
\omega_{AN}(e) = \int_M \text{Tr}[\pi^{\sigma}(\omega) U_{\pi^{\sigma}}] \, d\mu_M(\sigma), \quad \omega \in \mathcal{D}(G).
\]
Formulas (3.14) and (3.15) represent the BPF corresponding to the soft Plancherel formula (3.4). As in Section 3.I, we can derive the BPF corresponding to the alternate Plancherel formula (3.5) in which the parameter space is rendered free of Weyl group duplication, but at the cost of making the description of the nuclear operators considerably more complicated. In fact the intertwining operators are exactly the same as in Section 3.I, and we leave the virtually identical details to the reader. We also reiterate that some of the representations $\pi^\sigma$ may decompose finitely.

3.IV. Whittaker spaces  The results here are very similar to those in the previous subsection. We omit some details. We consider $\tau_{G,N}$ for an arbitrary parabolic $P = MAN$. As in Section 3.III, unless the parabolic is minimal, the numbers $\dim \sigma$ in the soft Plancherel formulae (3.6), (3.7) will be uniformly infinite. The BPF we derive works in either instance, although we presume we are in the infinite multiplicity case.

We specify the $q$ functions. In this case $H = N$, is unimodular, so we set $q_{H,G} = q_{N,G} \equiv 1$ on $G$. As always $q_{H \cap B,H} = q_{H,H} \equiv 1$ on $H$. Next, $q_{H \cap B,B} = q_{N,MAN} = \Delta_{MAN}^{-1}$ on $N$. We extend it to $P$ by setting $q_{H \cap B,B}(man) = e^{-2\rho(\log a)}$. Finally, we have as before $q_{B,G}(mank) = q_{P,G}(mank) = e^{2\rho(\log a)}$.

The representations in the spectrum are $\pi_{\lambda,\sigma}$ as defined in (3.1). The four conditions in (2.5) are satisfied for the following reasons. It is obvious for (b). Condition (c) holds because of the prior choices of $q$ functions. (a) holds once we make the usual choice for the nuclear operator $U_{\lambda,\sigma}$, namely the identity operator. Finally, condition (d) follows from Harish-Chandra’s result, this time applied to the reductive group $MA$. We next invoke formula (2.7) and Theorem 2.3. The operator $U_{\pi_{\lambda,\sigma}}$ is defined on the space of $\mathcal{H}_{\pi_{\lambda,\sigma}}$ by

$$\langle f_1, U_{\pi_{\lambda,\sigma}} f_2 \rangle = \langle f_1(e), f_2(e) \rangle.$$  \hfill (3.16)

We apply part (iv), formula (2.10), of Theorem 2.3, as well as the $q$ function choices above to conclude that

$$\text{Tr}[\pi_{\lambda,\sigma}(\omega)U_{\pi_{\lambda,\sigma}}] = \text{Tr} \int_{MA} \omega_N(ma)\sigma(m)^{-1}\tilde{\lambda}(a)e^{-\rho(\log a)} \, dmda.$$  

But then an application of the Plancherel formula on $MA$ yields immediately

THEOREM 3.4. For $\tau_N$, we have the concrete Plancherel formula

$$\omega_N(e) = \int_{MA} \text{Tr}[\pi_{\lambda,\sigma}(\omega)U_{\pi_{\lambda,\sigma}}] \, d\mu_M(\sigma)d\lambda, \quad \omega \in \mathcal{D}(G).$$  \hfill (3.17)

Formulas (3.16) and (3.17) represent the BPF corresponding to the soft Plancherel formula (3.6). As usual, we omit the details for the concrete Plancherel formula corresponding to (3.7).
4. Remarks

We make several remarks to conclude the paper.

4.1. Of course the usual Plancherel formula for a locally compact unimodular type I group is included in the statement of the BPF (Theorem 2.1). However in that case the Bonnet nuclear operators are just the identity, and so bounded. It would be interesting to characterize when (almost) all the operators $U_\pi$ that appear in a BPF are bounded. Here is a sufficient condition for that to happen.

**Proposition 4.1.** Let $G$ be unimodular and type I, and let $K \subset G$ be a compact subgroup. Let $\tau = \tau_{G,K}$ be type I. Then the spectrum of $\tau$ is precisely $\hat{G}(K) = \{\pi \in \hat{G} : n_\pi = \dim \mathcal{H}^K_\pi > 0\}$, where $\mathcal{H}^K_\pi = \{\xi \in \mathcal{H}_\pi : \pi(k)\xi = \xi, \forall k \in K\}$. Let $U_\pi$ be the projection of $\mathcal{H}_\pi$ onto $\mathcal{H}^K_\pi$. Then we have the Plancherel formula

$$\omega_K(e) = \int_{\hat{G}(K)} \text{Tr}[\pi(\omega)U_\pi] d\mu(\pi), \quad \omega \in \mathcal{D}(G),$$

where $\mu$ is the Plancherel measure of $G$ restricted from $\hat{G}$ to the open subset $\hat{G}(K)$.

**Proof.** This is certainly not a new result, although an exact reference to the literature is difficult to locate. That $\hat{G}(K)$ is open in $\hat{G}$ follows from the observation that the set $\{1\}$ is open (and closed) in $\text{Rep}(K)$ and the restriction map $\hat{G} \rightarrow \text{Rep}(K)$ is continuous. The derivation of the BPF in this context follows from the Plancherel formula of $G$. It goes as follows. The Plancherel formula of $G$ gives

$$\omega(e) = \int_{\hat{G}} \text{Tr}\pi(\omega) d\mu(\pi), \quad \omega \in \mathcal{D}(G).$$

Apply the formula to the test function $\omega$ right translated by an element $k \in K$ to get

$$\omega(k) = \int_{\hat{G}} \text{Tr}[\pi(\omega)\pi(k)] d\mu(\pi).$$

Then integrate over $K$ to obtain

$$\omega_K(e) = \int_K \int_{\hat{G}} \text{Tr}[\pi(\omega)\pi(k)] d\mu(\pi)dk = \int_{\hat{G}} \int_K \text{Tr}[\pi(\omega)\pi(k)] d\mu(\pi)dk = \int_{\hat{G}} \text{Tr}[\pi(\omega)U_\pi] d\mu(\pi).$$

This completes the proof. \qed
Note of course that the case $K = \{e\}$ is included; as is the case of a semisimple Lie group $G$ with a maximal compact subgroup $K$. These two cases seem to rule out any connection between the boundedness of the nuclear operators and the multiplicity function in the direct integral decomposition. The case $G$ compact is also included, so any connection with all representations being ‘intrinsically’ induced representations is also excluded. It may be that the condition of Proposition 4.1 is actually necessary. In fact, I do not know what the proper characterization might be—but I think it is an interesting question.

4.2. In all the cases considered in Section 3, we observe the following structure. We have a unimodular group $G$ and two subgroups $H \subset B \subset G$, where for almost every irreducible unitary representation $\sigma$ that appears in the direct integral decomposition of $\tau_{B,H}$, the induced representation $\pi_\sigma = \text{Ind}^G_B \sigma$ is irreducible. In such a situation we have the following soft representation-theoretic decomposition: suppose $\tau_{B,H}$ is type I, and that

$$\tau_{B,H} = \int_{\tilde{B}(H)} \oplus n_\sigma \sigma d\mu(\sigma);$$

then

$$\tau_{G,H} = \int_{\tilde{B}(H)} \oplus n_\sigma \pi_\sigma d\mu(\sigma).$$

Since all the irreducibles that appear in the decomposition are induced representations, it might be that we could use Theorem 2.3 to derive the BPF in this very general category, and so avoid the separate computations of Section 3. There is, as we shall soon see, a serious problem. But let us apply Theorem 2.3 and see where we get stuck. We reason heuristically by ignoring all modular functions and all $q$ functions. The spaces of the representations $\pi_\sigma$ are described by (2.6) and the corresponding nuclear operators by (2.7). Since $H \cap B = H$, we see the latter devolve to

(4.1) $$\langle f_1, U_{\pi_\sigma} f_2 \rangle = \langle f_1(e), U_\sigma f_2(e) \rangle.$$

That is what happened in Section 3, although there, $U_\sigma$ was the identity. Applying Theorem 2.3, part (iv), and using the fact that $U_\sigma$ is $H$-invariant, we compute

$$\int_{\tilde{B}(H)} \text{Tr}[\pi(\omega)U_{\pi_\sigma}] d\mu(\sigma)$$

$$= \int_{\tilde{B}(H)} \text{Tr} \int_{H \backslash B} \omega_H(b)\sigma(b)^{-1}U_\sigma d\dot{b} d\mu(\sigma)$$

$$= \int_{\tilde{B}(H)} \text{Tr} \int_{H \backslash B} \int_H \omega(b^{-1}h^{-1})dh\sigma(b)^{-1}U_\sigma d\dot{b} d\mu(\sigma).$$
Now, presuming the operators $U_\sigma$ arose in the BPF for $\tau_{B/H}$, we simply apply that result to conclude that the last expression is precisely $\omega_H(e)$. That would establish the BPF for $\tau_{G,H}$ with the nuclear operators $U_{\pi_\sigma}$ arising from the $U_\sigma$ by means of formula (4.1). But there is a problem, namely the group $B$ may not be unimodular. It certainly is not in any of the examples in Section 3. Therefore the application of Theorem 2.1 is inappropriate, and we must treat each individual situation separately.

4.3. Can we develop a form of Theorem 2.1 for non-unimodular groups? That is, is there a Bonnet-type Plancherel Theorem for homogeneous spaces $G/H$ in which no unimodularity assumption is placed on $G$? It is known that, with appropriate modifications, the classical Segal-Mautner-Godement Plancherel formula for unimodular groups was extended to non-unimodular groups [3, 7]. I hope to take up a parallel extension for homogeneous spaces in a future paper.

References


Department of Mathematics
University of Maryland
College Park, MD 20742
USA
e-mail: rll@math.umd.edu